

**Form No. MGT-9**

**EXTRACT OF ANNUAL RETURN**  
**As on the financial year ended 31<sup>st</sup> March, 2026**

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12 (1) of the  
 Companies (Management and Administration) Rules, 2014]

**I. REGISTRATION AND OTHER DETAILS:**

S. No.	Particulars	
1.	CIN	U66000DL1995PTC172311
2.	Registration Date	20/03/1995
3.	Name of the Company	<b>SMC INSURANCE BROKERS PRIVATE LIMITED</b>
4.	Category of the Company	Company Limited by Shares
5.	Sub-Category of the Company	Non-Government Company
6.	Address of the registered office and contact details	11/6-B, Shanti Chamber, Pusa Road, New Delhi-110005. Ph.No.011-66222266
7.	Whether listed company	No
8.	Name, Address and Contact details of Registrar and Transfer Agent, if any	MUFG Intime India private Limited, Noble Heights, 1 <sup>st</sup> Floor, Plot NH-2, C-1 Block LSC, Near Savita Market, Janakpuri, New Delhi- 110058, Ph No. 011- 41410592, 011- 41410593, 011- 41410594

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY :**

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/ Service	% to total turnover of the
1.	Insurance Brokerage Income	66220	100



**III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:**

S. No.	Name & Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1.	SMC Global Securities Limited* 11/6B, Shanti Chamber Pusa Road, New Delhi 110005	L74899DL1994PLCO 63609	Holding	90	2(46)

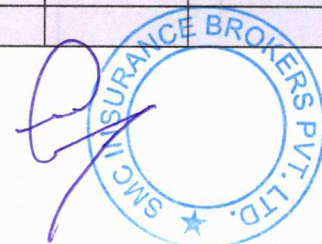
**IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**

*i) Category-wise Share Holding*

Category of Shareholders	No. of Shares held at the beginning of the year[As on 01-April-2025}				No. of Shares held at the end of the year[As on 31-March-2026]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoter's</b>									
(1) Indian									
a) Individual/ HUF	-	-	-	-	-	-	-	-	-
b) Central Govt.	-	-	-	-	-	-	-	-	-
c) State Govt.(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	135 000 00	-	135000 00	90	13500 000	-	135000 00	90	-
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any other	-	-	-	-	-	-	-	-	-
Total shareholding of Promoter (A)	<b>135 000 00</b>		<b>13500 000</b>	<b>90</b>	<b>13500 000</b>	--	<b>13500 000</b>	<b>90</b>	--
<b>B. Public</b>									



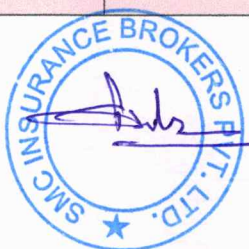
Shareholdin g									
1. Institutions									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-	-
c) Central Govt.	-	-	-	-	-	-	-	-	-
d) State Govt.(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-total (B)(1):-	-	-	-	-	-	-	-	-	-
2. Non-Institutions	-	-	-	-	-	-	-	-	-
a) Bodies Corp.	-	-	-	-	-	-	-	-	-
i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals	-	-	-	-	-	-	-	-	-
i) Individual shareholders holding nominal share capital upto ₹ 1 lakh	-	-	-	-	-	-	-	-	-
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	150000	-	-	-	150000	-	150000	-	-
c) Others (specify)									-
Non Resident									



Indians									
--NRI (Repat)	-	-	-	-	-	-	-	-	-
--NRI (Non-Repat)	-	-	-	-	-	-	-	-	-
Overseas Corporate Bodies	-	-	-	-	-	-	-	-	-
Foreign Nationals	-	-	-	-	-	-	-	-	-
Clearing Members	-	-	-	-	-	-	-	-	-
Trusts	-	-	-	-	-	-	-	-	-
Foreign Bodies - D R	-	-	-	-	-	-	-	-	-
Sub-total (B)(2):-	<b>150 000 0</b>	-	<b>15000 00</b>	<b>10</b>	<b>15000 00</b>	-	<b>15000 00</b>	<b>10</b>	-
Total Public Shareholding (B)=(B)(1)+(B)(2)	<b>100 000 0</b>	-	<b>10000 00</b>	<b>10</b>	<b>15000 00</b>	-	<b>15000 00</b>	<b>10</b>	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	<b>150 000 00</b>	-	<b>15000 000</b>	<b>100</b>	<b>15000 000</b>	-	<b>15000 000</b>	<b>100</b>	<b>NIL</b>

**ii) Shareholding of Promoters (Including Promoter's Group):**

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	%of total Shares of the company	%of Pledged/encumbered to total shares	No. of Shares	%of total Shares of the company	%of Pledged/encumbered to total	
1.	SMC Global Securities Limited*	13500000	90	0	13500000	90	0	0



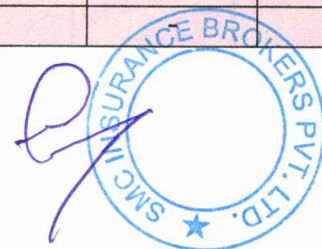
<b>Total</b>	<b>13500000</b>	<b>90</b>	<b>0</b>	<b>13500000</b>	<b>90</b>	<b>0</b>	<b>0</b>
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**iii) Change in Promoters' Shareholding: No Change**

S.No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	At the beginning of the year	-	-	-	-
2.	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment /transfer / bonus/ sweat equity etc.):	-	-	-	-
3.	Sale of equity shares	-	-	-	-
4.	At the end of the year	-	-	-	-

**iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADR)**

S. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	At the beginning of the year	-	-	-	-
2.	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
3.	At the end of the year	-	-	-	-



**V. Shareholding of Directors and Key Managerial Personnel:**

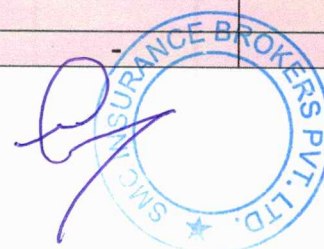
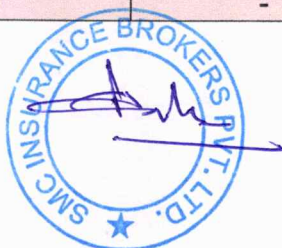
Sl. No.	For Each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	<b>Directors</b>				
1.	<b>Pravin Kumar Agarwal</b>				
	At the beginning of the year	1500000	10	1500000	10
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for	-	-	-	-
	increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the End of the year	<b>1500000</b>	<b>10</b>	<b>1500000</b>	<b>10</b>

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNELS**

**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

(All figs. in ₹)

S. No.	Particulars of Remuneration	Mr. Pravin Kumar Agarwal (Whole Time Director)	Ms. Akanksha Gupta (Whole Time Director)	Total
1.	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	1,26,98,400	74,68,992	2,01,67,392
	(b) Value of perquisites u/s 17(2) Income-tax Act,1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2.	Stock Option	-	-	-
3.	Sweat Equity	-	-	-
4.	Commission	-	-	-
	- as % of profit	-	-	-



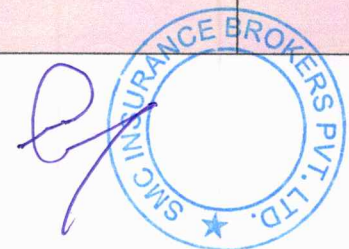
	- others, specify. (PF & NPS)	7,41,600	5,95,008	13,36,608
5.	Others, please specify (Performance Bonus, incentive & Dividend)	P. I.- 50,00,000 Div- 1,71,00,000	6,00,000	2,27,00,000
	<b>Total (A)</b>	<b>3,55,40,000</b>	<b>86,64,000</b>	<b>4,42,04,000</b>
	Ceiling as per the Act	Remuneration as per the provisions of Section 197 read with Schedule V the Companies Act, 2013		

**B. Remuneration to other directors:**

S. No.	Directors	Particulars of Remuneration			Total Amount (₹)
		Fee for attending board committee meetings	Commission	Others, please specify	
1.	<b>Name of Independent Directors</b>				
	Mr. Naveen ND Gupta	2,91,000	-	-	2,91,000
	Mr. Narendra Kumar	2,91,000	-	-	2,91,000
	<b>Total (1)A</b>	<b>5,82,000</b>	<b>-</b>	<b>-</b>	<b>5,82,000</b>
2.	<b>Name of Other Non-Executive Directors</b>				
	<b>Total (2)B</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>Total (B)=(1+2)</b>	<b>5,82,000</b>	<b>-</b>	<b>-</b>	<b>5,82,000</b>
	<b>Total Managerial Remuneration (A+B)</b>	<b>5,82,000</b>	<b>-</b>	<b>-</b>	<b>5,82,000</b>
	Overall Ceiling as per the Act	Sitting fees as per Companies Act, 2013			

**C. Remuneration to Key Managerial Personnel OTHER THAN MD/MANAGER/WTD:**

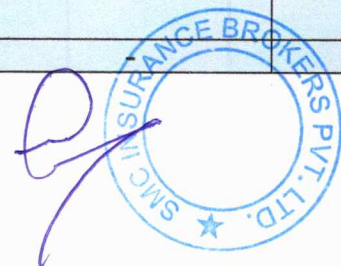
S. No.	Particulars of Remuneration	Key Managerial Personnel			Total (₹)
		CEO NA	(Company Secretary)	(Chief Financial Officer)	
			<b>Naveen Wishwabandhu</b>	<b>Sakshi Mehta</b>	
1.	Gross salary	-			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	13,20,000	38,07,996	51,27,996
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-	-



	(c) Profits in lieu of salary under section	-	-	-	-
2.	Stock Option*	-	-	-	-
3.	Sweat Equity	-	-	-	-
4.	Commission	-	-	-	-
	- as % of profit	-	-	-	-
	- others, specify...(PF & NPS)	-	-	1,92,010	1,92,010
5.	Others, please specify	-	-		
	<b>Total</b>	-	<b>13,20,000</b>	<b>40,00,006</b>	<b>53,20,006</b>

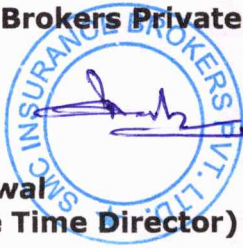
#### VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES:

Type	Section of the companies act	Brief description	Details of penalty/punishment / compounding fees	Authority (RD/NCLT/IRDA/Court)	Appeal made, if any (give details)
<b>A. COMPANY</b>					
Penalty	-	-	-	The IRDAI vide its order dated 08.01.2020 having Reference No. <u>IRDA/INT/MISC/OR D/014/01/2020</u> has imposed a penalty for an amount of ₹ 3,00,00,000/- for non-compliance with MISP Guidelines	The Securities Appellate Tribunal has passed the order on 8 <sup>th</sup> October 2025 in favour of the company. The opponent file counter claim in Supreme court of India Further, The Supreme Court allowed the matter.
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
<b>B. DIRECTORS</b>					
Penalty					
Punishment	-	-	-		



Compounding	-	-	-	-	-
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-

**For SMC Insurance Brokers Private Limited**



**Pravin Kumar Agarwal**  
**(Chairman & Whole Time Director)**  
**DIN : 00197478**



**Ajay Garg**  
**(Director)**  
**DIN: 00003166**

**Place: New Delhi**  
**Date: 28/04/2026**